OEM|SaaS|MSP Support Policy

This OEM|SaaS|MSP Support Policy ("Policy") describes the current practices of Qlik with regard to its provision of Support Services and Maintenance Services (collectively, "Support") to entities that have entered into an Agreement (as defined below) for Qlik Products (each such entity, a "Partner"). Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement. Prior versions of this Policy were titled "Qlik OEM/SaaS/MSP Maintenance Policy" and any reference to such Maintenance Policy in any Agreement shall be deemed a reference to this Policy.

1. Definitions.

“Agreement” means the applicable OEM, SaaS, Managed Service Provider (MSP) or other agreement between Qlik and Partner that refers to this Policy.

“Customer” means the third-party customer of Partner.

“Documentation” means the then-current documentation published and made generally available by Qlik for the applicable Qlik Product in the form of manuals and functional descriptions in printed or electronic form, as may be modified by Qlik from time to time.

“Error” means any verifiable and reproducible failure of the Qlik Products to materially conform to the Documentation.

“Initial Response Time” means the period commencing when an Error is first reported by Partner’s Technical Contact(s) in the manner required by this Policy and ending when a member of the Qlik technical support team logs the report and responds to the Technical Contact(s) by telephone, email or through the Support Portal.

“Maintenance Services” means the release of Updates to the Qlik Products, which Qlik elects to make generally available to licensees.

“Partner Offering” means the Partner Solution, OEM Product, Partner Offering, Managed Service, or other product that incorporates or integrates the Qlik Products with the Partner’s products or services, as defined in the applicable Agreement.

“Qlik Products” means the applicable Qlik proprietary software in object code form licensed to Partner under an Agreement. Qlik Products exclude early release, technical preview, beta, free trial or evaluation versions, any Qlik Cloud offering, as well as any extensions, objects, open source projects or code made available without charge on Qlik Branch or other developer forums, and any Qlik products which exclude Support in the terms of use.

“Release Management Policy” means the then-current release management policy describing the release cadence for the applicable Qlik Product, currently set forth at www.qlik.com/product-terms, as may be modified by Qlik from time to time.

“Self-Service Tools” means the Knowledge Base (Qlik’s online database of content and FAQs about the use and support of the Qlik Products), white papers, Community Forums, webcasts and other materials available via the Support Portal to Partners that are current on Support.

“Severity 1 Error” means that a Qlik Product is inoperable or not accessible in a production environment.

“Severity 2 Error” means that major functionality is materially impacted and not working in accordance with the technical specifications in the Documentation or significant performance degradation is experienced so that critical business operations cannot be performed.

“Severity 3 Error” means any Error that is not a Severity 1 Error or Severity 2 Error.

“Standard Business Hours” mean from 08:00 to 17:00, (8:00 am to 5:00 pm) Monday to Friday (excluding national and bank holidays) for the Support Center in the specific geographic region to which the applicable licenses are assigned in Qlik’s records.

“Support Portal” means Qlik’s online support website.

“Support Services” means the technical user support for the Qlik Products as described in this Policy. Support Services do not include services performed onsite at any Partner facility, consulting or education services, Maintenance Services, or any services not expressly stated in this Policy.

“Technical Contact(s)” means the Partner’s technical personnel that have been identified in writing by Partner as the technical contacts for Partner and which are authorized to contact Qlik for support.

“Update” means any Qlik Product enhancement, modification or Error correction made available in accordance with the Release Management Policy, which Qlik elects to make generally available to its customers as part of Maintenance Services. Updates do not include new or separate products which Qlik offers only for an additional fee to its customers generally.

2. Overview.

2.1 Qlik will provide Partner with Support Services and Maintenance Services for the Qlik Products in accordance with this Policy and the level of coverage purchased by Partner as well as any applicable terms in the Agreement for the Qlik Products, subject to Partner’s timely payment of the applicable fees.
2.2 Unless otherwise expressly set forth herein, all references in this Policy to response times or communications from Qlik shall only apply during Qlik’s Standard Business Hours, regardless of when a support matter is reported to Qlik. Qlik’s By way of example, Standard Business Hours for licenses assigned to New York City in Qlik’s records would be 08:00 to 17:00, Eastern Time, Monday to Friday (excluding U.S. federal and bank holidays). Times expressed as a number of “business days” include Standard Business Hours.

2.3 Any Support Services provided by Qlik hereunder will be provided in the English language or, as applicable, such other languages that may be specified on the Support Portal, which may change from time to time. The availability of support provided in any language other than English is provided at Qlik’s sole discretion and is not guaranteed by Qlik and will depend on the location of Qlik’s technical support personnel providing such support and Partner’s geographic location.

3. **Front-Line Support.** The Partner is responsible for providing Front-Line Support for the Partner Offerings to Customers in accordance with best industry standards, and with respect to the Qlik Products contained therein, generally consistent with this Policy, including Appendix 1 hereto.

4. **Back-Line Support Overview.**

4.1 Qlik’s support obligations to Partner under this Policy relate only to Back-Line Support for the Qlik Products. Partner is responsible to provide Front-Line Support for the Partner Offerings (including the Qlik Products contained therein) directly to all Customers. Qlik’s obligations under this Policy expressly exclude any direct first or front-line support to Customers, and Qlik shall have no obligation or liability to provide any such support to any Customer. Further, Qlik’s support obligations hereunder relate solely to the applicable Qlik Products and Qlik shall have no obligation to support the Partner Offering. Prior to reporting any Error, the Partner is responsible to take all reasonable steps to determine that such issue relates solely to the Qlik Products.

4.2 Qlik’s obligations under this Policy are contingent upon Partner’s timely payment of the applicable fees set forth in Partner’s Agreement and the fulfillment by Partner of its Front-Line Support obligations. Subject to the foregoing, Qlik will use commercially reasonable efforts to provide Partner with Back-Line Support Services, as set forth below, in connection with the Qlik Products distributed or made accessible by Partner to Customers as part of a Partner Offering.

5. **Enterprise Back-Line Support Services.**

5.1 **Scope of Coverage.** Enterprise Support includes support for Error determination, verification and resolution (or instruction as to work-around, as applicable) twenty-four (24) hours a day, seven (7) days a week, 365 days a year for Severity 1 Errors and during Qlik’s Standard Business Hours for Severity 2 and Severity 3 Errors.

5.2 **Support Case Handling.** Qlik will assist Enterprise Support Partners in issue analysis to determine whether or not the technical issue is related to the third-party hardware or software. In order to isolate the issue, Qlik reserves the right to request that the third-party hardware or software be removed. Qlik may in its discretion reach out to third-party vendors based on the established Technical Support Alliance Network (TSANet) to troubleshoot the issue. TSANet is a vendor-neutral global support alliance where companies work together to support mutual customers more effectively. Qlik will only engage TSANet for Partners who are using supported configurations.

5.3 **Update Information.** Partners may contact Qlik Enterprise Support for information regarding Updates performed by Partners, such as installation instructions, release documentation, and general guidance for multiple environments.

5.4 Qlik will use commercially reasonable efforts to respond (a) within the initial response time targets set forth in the table below for Severity 1 Errors reported by a Technical Contact to Qlik via telephone or (b) within the Initial Response Times set forth in the table below for Severity 2 and Severity 3 Errors that are reported by a Technical Contact to Qlik via telephone or the Support Portal. Qlik will respond to Partner’s Technical Contact by telephone or via the Support Portal. Severity 2 and 3 Errors will be initially logged and acknowledged by Qlik during Qlik’s Standard Business Hours in the region where the Error is reported. Provided that Partner provides Technical Contacts in other regions that are available to help troubleshoot issues, all Severity 1 Errors will be addressed and handed over between regions for as long as the Partner provides the available Technical Contacts in such region(s). Qlik shall use commercially reasonable efforts, consistent with industry practice, to investigate such reports to determine whether there is an Error present. If Qlik determines that an Error is present, Qlik will use commercially reasonable efforts to correct the Error and/or provide a workaround, including, without limitation, by providing Partner with an Update. Qlik will communicate with Partner at least with the frequency targets set forth in the table below until the Error is resolved (in accordance with Section 4 below) or a work-around is provided.

<table>
<thead>
<tr>
<th>Enterprise Support Coverage</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Severity Level</strong></td>
</tr>
<tr>
<td>Severity 1 Error</td>
</tr>
<tr>
<td>Severity 2 Error</td>
</tr>
<tr>
<td>Severity 3 Error</td>
</tr>
</tbody>
</table>

*During Standard Business Hours*
5.5 Qlik APIs and Third-Party Integrations.

5.5.1 For purposes of this Section 5 (Enterprise Back-Line Support Services), “Qlik Products” includes Qlik owned APIs and connectors, and will be supported in accordance with Sections 5.1 – 5.3 hereof.

5.5.2 For any third-party APIs, connectors, mashups, and integrations with the Qlik Products (“Third Party Integrations”), Qlik will provide basic troubleshooting (i.e. determining whether the problem is the result of an environmental or configuration problem or an error in the Third-Party Integration. Under no circumstances will Qlik modify any custom code integrating in any way with Qlik Products under this Policy. Any request to modify any Third-Party Integrations must be subject to a Qlik Consulting Services engagement.

5.5.3 Qlik’s developer communities located at https://community.qlik.com may be useful for Enterprise Support Partners to find additional information about Third Party Integrations.


6.1 Resolution. An Error is considered to be resolved upon the earlier to occur of the following: (i) Qlik and Partner mutually agree in writing (including via email) that the issue or problem is resolved; (ii) Qlik has provided Partner with an Update; (iii) a technical work-around solution is provided and is reasonable in Qlik’s discretion; (iv) Partner requests that Qlik close the support case; or (v) the support case has been left open for ten (10) consecutive business days, during which period Qlik has not received a response from any of Partner’s Technical Contacts.

6.2 Exclusions. Notwithstanding anything in this Policy to the contrary, Qlik will have no obligation to provide any Support Services in connection with: (i) any issue or problem that Qlik determines is not due to any Error or deficiency in a Qlik Product (e.g., without limitation, issues or problems caused by stand-alone third party software products, the Internet or other communications, Partner network or browser matters, or login issues used in conjunction with the Qlik Product); (ii) use of the Qlik Product other than in accordance with the Documentation and the Agreement; (iii) use of the Qlik Product provided on a trial or evaluation basis or for which Partner has not paid any fees; (iv) any Errors or problems with a Qlik Product that are not reproducible; (v) any Error or problem that is reported by Partner via any Qlik support telephone number or email address associated with any geographic territory other than the one to which Partner has been assigned on the Support Portal; or (vi) any Errors or problems with a Qlik Product that result from: (a) the use of the Qlik Product with software or hardware not designed for use with the operating systems approved by Qlik in the Documentation; (b) the use of the Qlik Product with hardware that does not satisfy the minimum system requirements specified by Qlik in the Documentation; (c) changes, modifications, or alterations to the Qlik Product not approved in writing by Qlik or its authorized representatives; (d) use of the Qlik Product other than in accordance with the Documentation and the Agreement; (e) use of other than a Supported Version of the Qlik Product as defined in the applicable Release Management Policy; or (f) Qlik Product provided on an evaluation basis or for which Partner has not paid any support fees. If Qlik does correct any of the Errors described in subsections (a)-(f) above, or otherwise provides support for Qlik Product that is not covered by the terms and conditions contained in this Policy, such Error resolution or Qlik Product support will be provided only following Partner’s written request and approval of all charges, and Partner will be invoiced for such support at Qlik’s then-current “time and materials” rates for such services. Without limiting any of the foregoing, Qlik has no obligation to provide support for any third-party software, data, or other materials distributed or bundled with the Qlik Product.

7. Updates. In addition to its obligations under Sections 4, 5 and 6 of this Policy, and provided that a Partner has paid all Fees required by its Agreement, Qlik will make Updates available, at no additional charge, to all such Partners when and if Qlik elects to make them generally commercially available. All Updates provided to any Partner under this Policy will be made available, at Qlik’s discretion, in a form of digital medium, or via the Qlik Software download site. Each Update will be provided together with the associated Documentation, written in English or another language officially supported by Qlik. Unless otherwise agreed in writing by Qlik, Partner shall be responsible for installation of all Updates. The Partner is required to install each Update that in Qlik’s sole discretion is required to be corrected for continued receipt of Back-Line Support for the applicable Qlik Product. Qlik is under no obligation to develop any future functionality, programs, services or enhancements.

8. Partner’s Obligations.

8.1 Partner will provide timely information and access to knowledgeable resources as reasonably required to provide support. Qlik’s support obligations shall be excused to the extent Partner fails to cooperate in this regard.

8.2 Partner shall: (i) not request, permit or authorize anyone other than Qlik to provide any form of Support Services in respect of the Qlik Products; (ii) cooperate fully with Qlik’s personnel in the diagnosis or investigation of any Error or other issue or problem with the Qlik Products; (iii) be responsible for purchasing, installing and maintaining all hardware required to use and support the Qlik Products; (iv) not permit or authorize any Customer to contact Qlik directly for Support Services, unless expressly agreed in advance by Qlik; (v) be responsible for maintaining all third party software not explicitly licensed under the Agreement; and (vi) maintain an email address for electronic mail communications with Qlik.

8.2 Partner’s contact with Qlik in connection with Partner’s requests for support and reports of Errors shall be solely through its Technical Contact(s). The Technical Contact(s) shall: (i) serve as the internal contact(s) for Partner’s personnel who are authorized to use the Qlik Products per the terms of the Agreement; (ii) be responsible for initiating all requests by, and maintaining all records of, the Partner relating to Support Services; (iii) serve as the contact(s) with Qlik on all matters relating to Support Services; and (iv) be responsible for providing information and support, as requested by Qlik, to assist in the reproduction, diagnosis, analysis, and resolution of Errors. Partner agrees to require its Technical Contacts to have a good knowledge of the Qlik Products. The maximum number of Technical Contacts for each Partner is six (6), regardless of the number or types or quantities of licenses purchased for the Software. Partner agrees to comply with any reasonable training requirements for the Technical Contact(s) upon notification by Qlik. Subject to the previous sentence, Partner may change its Technical Contact(s) by notifying Qlik in writing.
8.4 Qlik is unable to reproduce a problem or the solution requires modifying Software configuration parameters, Qlik may require Partner to provide remote access in order to continue providing support. Partner shall ensure that a functioning system enabling Qlik to have remote access to Partner’s technical equipment is installed (subject to Partner’s reasonable security measures and policies) and that satisfactory communication between the parties’ computer systems is possible. Partner agrees to be solely responsible for protecting and backing up its equipment, software and data prior to any such access. Qlik accepts no liability in connection with remote access support. A request for a remote connection will come only after other options are explored.

8.5 Partner will be responsible for primary support of its permitted Sub-Partners and/or Affiliates in connection with their use of the Qlik Products. Partner is solely responsible for, and only permitted to the extent applicable under the Agreement: (i) distributing Updates to its authorized Sub-Partners and/or Affiliates; (ii) passing on to its Sub-Partners and/or Affiliates all support materials as appropriate; and (iii) providing software support, including operational instruction, problem reporting and technical advice to its Sub-Partners and/or Affiliates, in each case of (i), (ii) and (iii) above, as necessary to enable the Sub-Partners and/or Affiliates to continue to use the Qlik Products as authorized under the Agreement. Partner will not refer any third party, including without limitation, any of its contractors, Customers, or any Sub-Partners and/or Affiliates for support of Qlik Products.

8.6 Qlik supports the Software in designated operating systems as described in the Documentation and not specific hardware configurations. If Partner is running the Software on a virtual environment, Partner and the virtual environment vendor will be responsible for any interactions or issues that arise at the hardware or operating system layer as a result of the use of a virtual environment. Qlik reserves the right to request Partners to diagnose certain issues in a native designated operating system environment, operating without the virtual environment, as needed to determine whether the virtual environment is a contributing factor to the issue.

8.7 Partner is expected to use a non-production environment for development and to conduct sufficient testing before making any updates to production.

8.8 For certain services provided under this Policy, the transmission of machine logs may be required. For avoidance of doubt, Partner shall not include any business sensitive and/or personal information via such transmissions. Accordingly, Qlik shall not be deemed a Data Processor under EU Data Protection Directive 95/46/EC (as amended) (the “Directive”) in providing support for the Software. However, should Partner send to Qlik any log files or other information containing personal data, Qlik will (i) comply with the Directive and any relevant national enacting legislation in relation to its treatment of that personal data as required under relevant, applicable law; and (ii) in accordance with Qlik’s privacy policies from time to time in effect. Partner shall take reasonable measures to limit the amount and sensitivity of such data provided to Qlik (by anonymization, for example). Qlik’s privacy policies are available to view online at www.qlik.com under “Cookie and Privacy Policy.”

9. Additional Terms

9.1 If Partner’s Agreement permits reinstatement of lapsed Support for Customers, such reinstatement will be subject to payment by Partner for each affected Customer of (a) the then-current annual Support Fees payable for the 12-month period beginning on the date of reinstatement and (b) the aggregate Support Fees that would have been payable for the relevant Qlik Products during the period of lapse in the absence of termination or non-renewal (including any Support Fee increases that may have been implemented during the period of lapse), provided that (i) the combined reinstatement fees are paid within twelve (12) months after the date of the lapse and (ii) Partner pays Qlik a Support reinstatement fee equal to twenty-five percent (25%) of the total support fees paid or payable to Qlik for all Qlik Products licensed by the affected Customer. Reinstatement (including all applicable reinstatement fees) beyond this date will be at Qlik’s sole discretion. Reinstatement fees may be assessed once notice of cancellation or non-renewal is provided, even if a request for reinstatement is provided prior to the expiration of the current Support Period.

9.2 If Partner’s Agreement permits termination of Support for Customers, Partner may terminate its right to receive Back-Line Support with respect to a particular Customer provided such Customer is no longer receiving support from the Partner for the Partner Offering and only upon written notice given no less than sixty (60) days prior to the expiration of the then-current Annual Back-Line Support period. Any such termination of Back-Line Support with respect to a particular Customer by the Partner will not result in a refund of any portion of the Support Fees paid by the Partner. No partial termination of support is permitted for any Customer.

9.3 Qlik may elect to make certain software publicly available under an open source license and free of charge on various online communities (“Extensions”). This Policy includes Support with regard to any certified Qlik Extensions only. Support for all other Extensions is provided solely by the open source community. To the extent customer uses non-certified Extensions in connection with the Qlik Product, this Policy provides Support for the Qlik Product and Qlik Product API only.

9.4 Qlik may elect to make certain software available free of charge for trial, evaluation or other purposes (“Freeware”). Support for Freeware, if any, will be provided at Qlik’s discretion and in accordance with the license terms for such Freeware.

9.5 While Qlik may make certain open source libraries created by Qlik (each a “Qlik Library” and collectively the “Qlik Libraries”) that may be referenced in the Documentation as being available for use with Qlik Core, Qlik Libraries are not supported under the Policy. To the extent an Error in Qlik Core arises from or relates to use of the Qlik Libraries, Qlik’s obligation to provide Support for such Error shall only exist during the period in which the applicable library remains a valid Qlik Library for use with Qlik Core (as set forth in the then-current Qlik Core Documentation) and the applicable Qlik Library must not been changed, modified or altered in any manner by anyone other than Qlik.

9.6 Designated Support Engineers, previously offered as a Support option under prior versions of this Policy, are now offered as Customer Success Engineers and governed by the Consulting Services Product Terms at www.qlik.com/product-terms.
10. **Changes to Policy.** Subject to the Agreement, Qlik reserves the right, at its discretion, to change this Policy and the policies within it at any time based on prevailing market practices and the evolution of Qlik’s software products. This Policy supersedes any prior version of the OEM/SaaS Support Policy. Any reference to Front-Line Support herein shall be deemed to be a reference to First-Line Support if such term is used in the Agreement and any reference to Back-Line Support herein shall be deemed to be a reference to Second Line Support if such term is used in the Agreement.

11. **Disclaimer.** THIS POLICY DEFINES A SERVICE ARRANGEMENT AND NOT A WARRANTY. THE QLIK PRODUCTS AND MATERIALS AND SERVICES RELATED THERETO ARE SUBJECT EXCLUSIVELY TO THE WARRANTIES SET FORTH IN THE APPLICABLE AGREEMENT. THIS POLICY DOES NOT CHANGE OR SUPERSEDE ANY TERM OF ANY SUCH AGREEMENT. TO THE EXTENT THERE IS A CONFLICT BETWEEN A TRANSLATED VERSION OF THIS POLICY AND THIS ENGLISH VERSION, THE ENGLISH LANGUAGE VERSION WILL PREVAIL.
Appendix 1

Front Line Support Responsibilities

Customer Issue Management

1. Self service
   • Encourage Customers to first search the Qlik knowledgebase and find an answer without direct interaction with Partner as an assisted support resource

2. Assisted support resource
   • If the Customer has an on-premise OEM Product license, verify that the Customer is entitled to support or holds a valid and active license for the Qlik products.
   • Gather relevant and necessary information from the Customer to obtain enough details to investigate the problem; and have a clear understanding from the end user of the issue, including relevant key words or phrases, Qlik product type and version/release number, operating environment, sample Qlik app, log files, detailed problem description.
   • Search the Qlik knowledgebase to find solutions to known issues, to provide solutions that Partner may provide directly to Customers via self-service. The Qlik knowledgebase includes: knowledge articles, Qlik Community, and the Qlik Help site.
   • Follow the process of troubleshooting to present a resolution:
     • Identify where the problem originates
     • Be aware of the top issue drivers
     • Utilize the Qlik knowledgebase early and often
     • Look to the product expertise of Qlik Support
     • Communicate often with the Customer
   • Confirm the resolution to the Customer and ensure the Customer understands the resolution
     • Present the solution to the Customer.
     • Ask the Customer to test the solution to verify Customer understands the resolution
     • Notify the Customer the issue is being marked as resolved

3. Collaborate with Qlik
   • Collaboration with Qlik means that Partner can request involvement from Qlik internal Support on those cases where Partner (or Partner’s team) are not able to find a solution to the Customer’s issue. After Partner has exhausted all available troubleshooting and replication steps listed in Section 1 and 2 above, Partner may:
     • Log a ticket in the Support Portal.
     • Be responsive to Qlik Support and the Customer by replying within 2 business days to inquiries and requests
     • Manage Cases in the Qlik Support Portal, which includes updating Case activity when significant events evolve
     • Retain ownership of the case, communication to, and confirmation of a resolution
     • Mark the case in the portal “Ready to Close”, once the Customer or Qlik indicates the issue is resolved

4. Reporting an Error
   • Reporting an Error is to notify Qlik Support that the applicable Qlik Product does not materially conform to the Documentation
     • Partner must provide repeatable working replication steps and explain expected versus actual behavior
     • Qlik will validate possible errors by following Partner’s reproduction steps. If Qlik is unable to reproduce the reported Error, Partner will provide Qlik with all necessary assistance to help Qlik reproduce the Error. Qlik will have no obligation to provide Back-Line Support for any Error that cannot be reproduced by Qlik.
     • Qlik will work with R&D and periodically provide status updates if Qlik can reproduce the Error.